



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No.09/802,111
Filing Date3/7/2001
3 Confirmation No.5579
Inventorship Todor J. Fay
4 Applicant Microsoft Corporation
Group Art Unit2644
5 Examiner Andrew C. Flanders
Attorney's Docket No. MS1-737US
6 Title: Synthesizer Multi-Bus Component

7 **INFORMATION DISCLOSURE STATEMENT**

8 **References – See Attached Form PTO-1449**

9 To: Commissioner for Patents
10 PO Box 1450
Alexandria, VA 22313-1450

11
12 From: David A. Morasch (Tel. 509-324-9256; Fax 509-323-8979)
Lee & Hayes, PLLC
13 421 W. Riverside Avenue, Suite 500
Spokane, WA 99201
14

15 The attached form PTO-1449 is submitted in compliance with Applicant's duty of
16 disclosure under 37 CFR §1.56. The Examiner is requested to make these citations of
17 official record in this application.

18 The Commissioner is hereby authorized to charge payment of fees or credit
19 overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).
20

21 Dated: May 18, 2005

By: 

David A. Morasch
Reg. No. 42905

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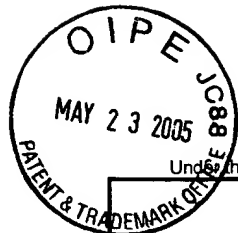
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/802,111
	Filing Date	3/7/2001
	First Named Inventor	Todor J. Fay
	Group Art Unit	2644
	Examiner Name	Andrew C. Flanders
Total Number of Pages in This Submission	Attorney Docket Number	MS1-737US

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO-1449 Form; Cited References (18) Return Post Card
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

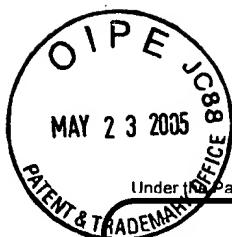
Firm or Individual Name	David A. Morasch/Reg. No. 42905
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Date	May 18, 2005

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Typed or printed name	Anna G. Hook	
Signature		Date 5-19-05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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AF 2644

PTO/SB/17 (12-04)

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00

Complete if Known

Application Number 09/802,111
Filing Date 3/7/2001
First Named Inventor Todor J. Fay
Examiner Name Andrew C. Flanders
Art Unit 2644
Attorney Docket No. MS1 -737US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):
☒ Deposit Account Deposit Account Number: 12-0769 Deposit Account Name: Lee & Hayes, PLLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims - 20 or HP = x 50 = **Fee Paid (\$)**
HP = highest number of total claims paid for, if greater than 20
Indep. Claims - 3 or HP = x 200 = **Fee Paid (\$)**
HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets - 100 = / 50 = (round up to a whole number) x = **Fee Paid (\$)**

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Information Disclosure Statement

Fees Paid (\$)
180.00

SUBMITTED BY

Signature David A. Morasch Registration No. 42905 Telephone (509) 324-9256
Name (Print/Type) David A. Morasch Date May 18, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of

2

Complete if Known

Application Number	09/802,111
Filing Date	3/7/2001
First Named Inventor	Todor J. Fay
Art Unit	2644
Examiner Name	Andrew C. Flanders
Attorney Docket Number	MS1 -737US

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 2002/0108484	8/15/2002	Arnold et al.	
		US- 5142961	9/1/1992	Paroutaud	
		US- 5315057	5/24/1994	Land et al.	
		US- 5548759	8/20/1996	Lipe	
		US- 5761684	6/2/1998	Gibson	
		US- 5792971	8/11/1998	Timis et al	
		US- 6169242	1/2/2001	Fay et al	
		US- 6173317	1/9/2001	Chaddha et al	
		US- 6216149	4/10/2001	Conner et al	
		US- 6225546	5/1/2001	Kraft et al.	
		US- 6433266	8/13/2002	Fay et al	
		US- 6541689	4/1/2003	Fay et al.	
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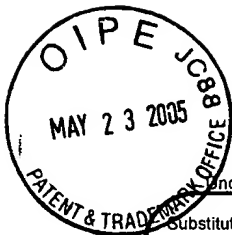
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Application Number	09/802,111
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First Named Inventor	Todor J. Fay
Art Unit	2644
Examiner Name	Andrew C. Flanders
Attorney Docket Number	MS1 -737US

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		HARRIS et al.; "The Application of Embedded Transputers in a Professional Digital Audio Mixing System"; IEEE Colloquium on "Transputer Applications"; Digest No. 129, 2/ 1-3 (uk 13 Nov 1989)	
		MOORER, JAMES; "The Lucasfilm Audio Signal Processor"; Computer Music Journal, Vol. 6, No. 3, Fall 1982, 0148-9267/82/030022-11; pages 22 through 32	
		VERCOE, BARRY; "New Dimensions in Computer Music"; Trends & Perspectives in Signal Processing; Focus, April 1982; pages 15 through 23	
		VERCOE, et al; "Real-Time CSOUND: Software Synthesis with Sensing and Control"; ICMC Glasgow 1990 for the Computer Music Association; pages 209 through 211	
		WAID, FRED; "APL and the Media"; Proceedings of the Tenth APL as a Tool of Thought Conference; held at Stevens Institute of Technology, Hoboken, New Jersey, 31 January 1998; pages 111 through 122	
		WIPPLER, JEAN-CLAUDE; "Scripted Documents"; Proceedings of the 7th USENIX Tcl/TKConference; Austin Texas; February 14-18, 2000; The USENIX Association	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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